

Community National Bank does not endorse, recommend or evaluate the prudence, merit, viability or suitability of any investment product. The information requested below is solely for administrative purposes.

**In addition to completing this form you will need to provide a list of officers and principals and a copy of the offering materials, including prospectuses, offering memorandums, subscription agreements, etc. Additional information may be necessary to determine the administrative feasibility of accepting this investment for custody.**

**Investment Name:** \_\_\_\_\_

<b>1. TYPE OF INVESTMENT</b>	
<input type="checkbox"/> Business Development Company <input type="checkbox"/> Delaware Statutory Trust <input type="checkbox"/> Limited Partnership <input type="checkbox"/> Other - Specify _____	<input type="checkbox"/> Real Estate Investment Trust <input type="checkbox"/> Limited Liability Company <input type="checkbox"/> Private Stock <input type="checkbox"/> Common <input type="checkbox"/> Preferred

<b>2. GENERAL PARTNER / INVESTMENT SPONSOR</b>	
Name: _____	Transfer Agent: _____
Address: _____	
City: _____ St: _____ Zip: _____	Administrative Issues Contact: _____
Phone: _____ Fax: _____	Phone: _____
Website address: _____	Email: _____

<b>3. DESCRIPTION OF BUSINESS &amp; USE OF OFFERING PROCEEDS</b>	<b>4. HISTORICAL DATA (IF AVAILABLE)</b>
	Return of Capital to date (per unit) \$ _____ Date last dividend issued _____ Offering Amount Raised to Date \$ _____

<b>5. INVESTMENT DETAILS</b>	<b>6. DIVIDEND FREQUENCY</b>
Initial Opening Date: _____	<input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly <input type="checkbox"/> Semi-Annually <input type="checkbox"/> Annually <input type="checkbox"/> No Planned Dividends <input type="checkbox"/> Other _____
Closing Date: _____	
Minimum Initial Investment: \$ _____	
Minimum Additional Investment: \$ _____	

<b>7. PRICING / VALUATION</b>	<b>8. METHOD OF VALUATION</b>
Offering Price (New Offerings): \$ _____	<input type="checkbox"/> Appraised Value Method <input type="checkbox"/> Book Value <input type="checkbox"/> ERISA <input type="checkbox"/> NAV <input type="checkbox"/> Net Investment Method <input type="checkbox"/> Original Price <input type="checkbox"/> Other _____
Current per unit/share market value: \$ _____	
Date Value was Determined: _____	
Pricing Frequency:	
<input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly <input type="checkbox"/> Semi-Annually <input type="checkbox"/> Annually	

<b>9. DISCLOSURE DETAILS</b>
Are there any current/pending criminal, civil, or administrative proceedings against the company, principal, key employee, or affiliate of the firm? <input type="checkbox"/> Yes (Attach a summary to this request) <input type="checkbox"/> No

## 10. ACKNOWLEDGEMENTS

**I, the General Partner, Managing Member, Corporate Officer or other authorized individual of the above-named investment entity (Issuer) hereby agree to the following on behalf of the Issuer:**

1. The Issuer or its representative or agent will provide any applicable prospectus, private placement memorandum, offering circular, or other offering documentation to the account owner prior to accepting any subscription documents and/or funding from CNB.
2. I confirm that ownership interests will be registered in the following format and appropriate confirmation will be mailed to:  
Community National Bank, Custodian  
FBO (Account Owner name) (IRA type) (Account #)  
PO Box 225 - 225 Main Street  
Seneca, KS 66538  
Tax ID # 48-0976372
3. The Issuer will not accept any subscription document, change of registration request, or change of registered owner address, including dividend payment address, for any investment that lists CNB as custodian but does not bear an authorized signature of CNB and will reject any such documents back to the IRA owner.
4. I understand CNB does not attest to the personal financial information provided by an IRA owner and we agree not to hold CNB responsible for any suitability or other representation made by an IRA owner.
5. The Issuer will provide written confirmation of the purchase to CNB including any original stock certificates, LP/LLC certificates, or other type of document used by the issuer to establish ownership.
6. I agree to provide CNB an estimated per share value no less than annually. If we fail to do so in a timely manner, we understand CNB may utilize the last known value, the inventory value (as determined by CNB), or other valuation CNB deems appropriate.
7. I confirm that, if applicable, potential investors have been made aware that the investment may generate Unrelated Business Taxable Income (UBTI). If UBTI is generated, the Issuer will provide CNB with a copy of the K-1 for each investor in a timely manner.
8. I confirm that the Issuer will send all annual and interim reports and proxy materials directly to the IRA owner at their home address. Dividend payments will be sent directly to CNB.
9. I agree to process any request for change of ownership interest to a successor trustee/custodian and/or the owner of the IRA upon request from CNB.
10. I confirm that the Issuer is duly organized and in good standing.
11. I confirm that none of the principals or employees of the Issuer have acted or will act as an investment advisor to an IRA owner.
12. To the extent required by law, the Issuer will notify CNB and the IRA owner of any change in the status of the investment offering, including but not limited to, dissolution, bankruptcy, change of name, address, transfer agent, etc. either through direct mailings or SEC filings.
13. To the extent required by law, the Issuer will notify CNB if the Issuer is currently or becomes subject to a) any regulatory investigation in excess of routine exams, and/or b) any litigation that could have a material adverse effect on operations either through direct mailings or SEC filings.
14. I confirm that an IRA owner's liability is limited to their cash investment.
15. I certify that Issuer has not and will not a) use the name of CNB in any way to suggest or imply endorsement or sponsorship of our investment products, b) represent CNB, or any of its officers, directors, or employees, as agents, employees, representatives, or affiliates of the Issuer.

## 11. SIGNATURE

Note: Must be signed by the General Partner, Managing Member, Corporate Officer or other authorized individual for the investment entity.

**Authorized Signature:** \_\_\_\_\_ **Date:** \_\_\_\_\_

**Printed Name:** \_\_\_\_\_ **Title:** \_\_\_\_\_