

1. FINANCIAL ADVISOR/REPRESENTATIVE INFORMATION

Representative Name _____
Representative # _____ Branch # _____ Rep CRD # _____ Firm CRD # _____
E-Mail Address _____ Office Assistant _____

Complete All Sections That Apply:

2. OFFICE ADDRESS - ALL CORRESPONDENCE WILL BE MAILED TO THIS ADDRESS

Office Name _____
Office Address _____
City _____ State _____ Zip _____
Office Telephone # _____ Ext _____ Office Fax # _____

3. BROKER/DEALER OR RIA FIRM

New Firm Information: Broker/Dealer RIA Other (Type) _____
Firm Name _____
Clearing Firm _____
Firm Address _____
City _____ State _____ Zip _____
Firm Telephone # _____ Firm Fax # _____

My previous firm's name was noted on the Custodial Agreement to establish my clients' accounts. I hereby certify that I have notified ALL of my clients with accounts at Community National Bank of my change of broker/dealer or RIA firm.

4. RESIGNATION AS FINANCIAL ADVISOR/REPRESENTATIVE

Complete this section only to remove your name as the representative on the following accounts and have them listed as Self-Directed:

Client's Name _____ CNB Acct # _____
Client's Name _____ CNB Acct # _____

(Attach additional listing as necessary)

5. REPRESENTATIVE/FINANCIAL ADVISOR SIGNATURE(S)

X _____ Date _____
Signature of Representative(s)

Notes: *To change the designated representative on an account held with Community National Bank, the account owner must sign a Representative Designation Form.
*CNB will submit broker/dealer, RIA, and resignation as representative changes to any investment companies that accept the change without a client signature. However, many investment companies require a client signature to update the firm information. If you would like CNB to submit a request to update this information to investment companies that require a client signature, we will need either the investment company's account update form or CNB's Representative Designation form completed and returned to CNB.