CNB CUSTODY
A DIVISION OF COMMUNITY NATIONAL BANK

VENDOR TRANSMISSION AUTHORIZATION

Effective 3/2021

Community National Bank Retirement Plans Division provides custodial services for self-directed retirement plans, working nationwide with investment advisors, broker dealers, registered investment advisors, and individuals. Community National Bank Retirement Plans Division (CNB) has entered into an alliance with **CircleBlack** (Servicer) (together with its affiliates). The alliance is intended as a value-added service to advisors, RIAs, registered representatives, and broker dealers that custody their client accounts at CNB and who use the Servicer as a service provider.

With this alliance and the services provided by Servicer, you can direct the information related to the client accounts custodied at CNB to be provided to Servicer. CNB account owners have appointed CNB as their independent custodian and designated an advisor and their respective RIA and/or a representative and their respective broker dealer to receive the investment information. CNB is subject to Gramm-Leach-Bliley Section 501(b) which sets the standard for Safeguarding Customer Information. Information is deemed to include confidential client information that is not generally known or available to third parties. Servicer may use the information provided by CNB to enable you to access the client information as a service provided to you. CNB and Servicer have entered into a Confidentiality/Non-Disclosure Agreement to protect the client information that is provided to Servicer as part of this alliance.

Your signature below will acknowledge your acceptance to the release of client information from CNB to Servicer. You also acknowledge that you have followed applicable privacy laws and regulations in obtaining authorization to allow release of this information for your client accounts. You agree to indemnify CNB from and against any and all claims in connection with this agreement and CNB and the Servicer's reliance thereon. This authorization will remain in effect until it is revoked in writing by the firm stated below. CNB reserves the right to revoke this transmission of data at any time, without prior notification.

Please sign the authorization and send the signed copy to CNB at irainfo@cnbcustody.com or fax to (785)336-2214.

Acknowledged and agreed:	
Broker-Dealer/RIA Name: ____________________	
Representative/Advisor Name*: (if applicable) ____________________	
Authorized Signature: ____________________	**Date:** ____________
Printed Name: ____________________	**Title:** ____________

** Only list a representative's name if you want only that specified representative's client information to be released to the Servicer. If left blank ALL representatives' client information will be released to the Servicer.*